

GAU 2153
#6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Peter Newman and Pawan Goyal
APPLICATION NO.: 09/526,980
FILING DATE: March 15, 2000
TITLE: Enabling A Service Provider To Provide Intranet Services
EXAMINER: Ayaz R. Sheikh
GROUP ART UNIT: 2153
ATTY. DKT. NO.: 21816-04467

RECEIVED
NOV 13 2002
Technology Center 2100

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, Washington, D.C. 20231, on the date shown below:

Dated: 11/8/02

By: Jeffrey Brill
Jeffrey Brill, Reg. No.: 51,198

COMMISSIONER FOR PATENTS
WASHINGTON, DC. 20231

INFORMATION DISCLOSURE STATEMENT
Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO-1449/PTO-8A listing references for consideration by the Examiner. Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

- ☒ within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;
- ☐ before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;

- ☐ after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR §1.113; or (2) an action that otherwise closes prosecution in the application, and:
 - ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
 - ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
 - ☐ each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
 - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement;
- ☐ on or before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; or (2) an action that otherwise closes prosecution in the application, and:
 - ☐ Applicant certifies pursuant to 37 CFR. § 1.97(e) that:
 - ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
 - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this

certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND

- ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
- ☐ after the payment of the issue fee. Applicant requests that the information contained in this Information Disclosure Statement be placed in the file according to 37 CFR § 1.97(i), although the information may not be considered by the USPTO.
- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application No. [APPLICATION NUMBER], filed on [FILING DATE], and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).
- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR § 1.704(d).
- ☒ Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,
PETER NEWMAN AND PAWAN GOYAL

Dated: 11/8/02

By: Jeffrey Brill
Jeffrey Brill, Reg. No.: 51,198
Fenwick & West LLP
Two Palo Alto Square
Palo Alto, CA 94306
Tel.: (650) 858-7291
Fax.: (650) 494-1417

21816/04467/DOCS/1309311.1



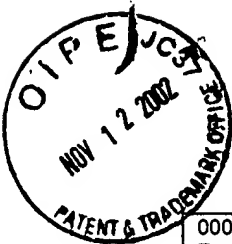
Date Mailed: 11/8/02 | Atty/Sec: JB/cck | Filing Date: March 15, 2000
Serial No. 09/526.980 | Docket No.: 21816-04467
Applicant: Peter Newman et al.
Title: Enabling a Service Provider to Provide Intranet Services

Please imprint Patent Office "date stamp" hereon to indicate receipt and then return card to addressee

- | | |
|---|--|
| <input checked="" type="checkbox"/> Transmittal Form | <input type="checkbox"/> CPA Request Transmittal |
| <input type="checkbox"/> Fee Transmittal (in duplicate) | <input type="checkbox"/> Request to Correct Filing Receipt |
| <input type="checkbox"/> Resp. to Notice Of Missing Parts | <input type="checkbox"/> Request to Correct Assignment |
| <input type="checkbox"/> Assignment & Recordation Cover Sheet | <input type="checkbox"/> Amendment/Response |
| <input type="checkbox"/> Declaration | <input type="checkbox"/> Issue Fee Transmittal |
| <input type="checkbox"/> Small Entity Statement | <input type="checkbox"/> Letter to Chief Draftsperson |
| <input checked="" type="checkbox"/> IDS, PTO-1449, and cited references A-H | <input type="checkbox"/> Formal Drawings: __ sheets |
| <input type="checkbox"/> Express Mail No. | <input type="checkbox"/> Maintenance Fee Payment |
| <input type="checkbox"/> Check in the amount of \$ _____ | <input type="checkbox"/> Request for Certificate of Correction |
| <input type="checkbox"/> Other: | <input type="checkbox"/> Notice of Appeal |

21816/04467/DOCS/1142702.1

RECEIVED
NOV 13 2002
Technology Center 2100



TRANSMITTAL FORM <i>(to be used for all correspondence during pendency of filed application)</i>	0001/PTO Rev. 10/95	U.S. Department of Commerce Patent and Trademark Office	Application Number	09/526,980
			Filing Date	March 15, 2000
			First Named Inventor	Peter Newman
			Group Art Unit Number	2152
			Examiner Name	Ayaz R. Sheikh
Total Number of Pages in This Submission		5*	Attorney Docket Number	21816-04467

RECEIVED
NOV 13 2002
Technology Center 2100

ENCLOSURES (check all that apply)	
<input type="checkbox"/> Fee Transmittal Form (in duplicate) <input type="checkbox"/> Check Enclosed	<input type="checkbox"/> Issue Fee Transmittal
<input checked="" type="checkbox"/> Return Receipt Postcard	<input type="checkbox"/> Letter to Chief Draftsperson
<input type="checkbox"/> Response to Notice to File Missing Parts	<input type="checkbox"/> Formal Drawing(s): [] Sheet(s) of Figure(s) []
<input type="checkbox"/> Assignment & Recordation Cover Sheet	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Declaration	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Small Entity Statement	<input type="checkbox"/> Certified Copy of Priority Document(s)
<input checked="" type="checkbox"/> Information Disclosure Statement & PTO-1449	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Copies of IDS Cited References A-H	<input type="checkbox"/>
<input type="checkbox"/> Request for Corrected Filing Receipt	<input type="checkbox"/>
<input type="checkbox"/> Request for Correction of Recorded Assignment	<input type="checkbox"/>
<input type="checkbox"/> Amendment/Response: [] Page(s)	<input type="checkbox"/>
<input type="checkbox"/> After Final	<input type="checkbox"/>
<input type="checkbox"/> Status Request	<input type="checkbox"/>
<input type="checkbox"/> Revocation and Power of Attorney	<input type="checkbox"/>

REMARKS: *Does not include cited reference pages.

SIGNATURE OF ATTORNEY OR AGENT	
Signature:	
Attorney/Reg. No.:	Jeffrey Brill, 51,198
Dated:	11/8/02

CERTIFICATE OF MAILING	
I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.	
Signature:	
Typed or Printed Name:	Jeffrey Brill
Dated:	11/8/02
Express Mail Mailing Number (optional):	